

# BRADEN M. PERRY, JD, CAMS

KENNYHERTZ PERRY, LLC

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## Employment:

2011-Present Co-Founder/Partner, KENNYHERTZ PERRY, LLC, Westwood Hills, KS/Kansas City, MO

- Consults with clients throughout the United States in areas of government inquiries and investigations, litigation, internal investigations, enforcement matters, regulatory issues, and corporate transactions, specializing in complex, novel, and emerging financial issues.
- Focuses include commodities, derivatives, securities, financial institutions, the Foreign Corrupt Practices Act (“FCPA”), technology, cybersecurity, and compliance.
- Certified Anti-Money Laundering Specialist (“CAMS”), assisting clients in all areas of BSA/AML policies and procedures, including advising on government inquiries involving suspicious behavior.
- Serves as an expert witness in complex regulatory and criminal matters.
- Frequent speaker on financial and regulatory issues and contributor to financial and legal publications.

2010-2011 Senior Vice President, Regulatory Affairs and Compliance/CCO, MARINER HOLDINGS, LLC, Leawood, KS

- Responsible for Broker-Dealer, multiple RIA, '40 Act, and hedge funds' compliance with policies and government/industry regulations, including identifying and assessing the compliance risks of the firms, implementing effective policies and procedures, including insider trading and related party transaction policies, and reviewing their continued adequacy and effectiveness.

2008-2010 Senior Trial Attorney, UNITED STATES COMMODITY FUTURES TRADING COMMISSION, Kansas City, MO

- Conducted investigations and litigation under the Commodity Exchange Act (“Act”) and the rules and regulations adopted by the Commodity Futures Trading Commission (“CFTC”) under the Act, including responsibility for all aspects of investigations of suspected violations, administrative proceedings, and civil actions in Federal district courts, including injunctive and subpoena actions.
- Served as a liaison to the Northern District of Illinois U.S. Attorney’s Office and Federal Bureau of Investigation in a high-profile Forex fraud investigation.
- Named as Liaison to the Office of General Counsel and led a 4-person intra-agency task force charged with implementing new procedures for enforcement staff, focused on anticipated regulatory changes in the wake of financial reform.

2002-2008 Attorney, HUSCH BLACKWELL SANDERS, LLP, Kansas City, MO

- Member of the White Collar Criminal Defense, Government Compliance, Investigations, and Litigation, Commercial and Business Litigation, and Digital Discovery and Records Management practice groups.
- Represented clients in the prosecution and defense of complex business disputes in domestic and international jurisdictions, served as counsel to publicly and privately held companies undergoing both internal and external investigations, assisted clients in recognizing and avoiding the expanding risk of corporate criminal liability, and designing and implementing targeted corporate compliance programs.
- Specialized in identifying risks associated with highly-regulated business and proactively limit risks through advising and counseling on government regulations and drafting policies and procedures to comply efficiently with the rules and regulations.

## Recognitions:

- The Power 30: White-Collar Defense, Missouri Lawyers Media, 2020
- National Trial Lawyers Top 100 Criminal Defense Lawyers in Kansas, 2020
- Inaugural Member, Kansas City Business Journal Leadership Trust, 2019
- 20 Best Litigation Attorneys in Kansas City, Expertise, 2018
- 20 Best Litigation Attorneys in Kansas City, Expertise, 2017
- Ones to Watch in 2017, Corporate Vision, 2016
- 20 Best Litigation Attorneys in Kansas City, Expertise, 2016